

# Annexes

## Annex 1

### DCAF Programmes in Georgia

#### Projects 2002

- **Stock-Taking on the Standing of Security Sector Reform in Georgia**

A DCAF staff member initiated research with CCMRSS in Tbilisi mapping the Georgian security sector. See [http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Fritz.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Fritz.pdf)

- **NATO – PA Rose - Roth Seminar – Georgia** (co-sponsored by DCAF)

For a report on this seminar for parliamentarians see <http://www.nato-pa.int/default.asp?TAB=298>

#### Projects 2003

- **Conference – ‘Democratic Control over Armed Forces’ (Tbilisi)**

In support of the Estonian Ministry of Defence – ISAB organized three-day conference, a DCAF member participated and also presented a paper on ‘Civilians in Defence Ministries’.

- **PfP Consortium - SSR Working Group Meeting - ‘Security Sector Governance in Southern Caucasus’** Georgian security sector governance discussed at a Joint Meeting with the Regional Stability Group in Southern Caucasus and South Eastern Europe, Reichenau, Austria.

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/mainpage.html](http://www.dcaf.ch/news/PfP_Reichenau1103/mainpage.html)

## Projects 2004

- **DCAF-IPU Handbook on Parliamentary Oversight of the Security Sector - Georgia**  
Translated into Georgian during 2003 and published in March 2004. A formal press conference was held at the official launch event at the Parliament in June 2004. 1000 copies were distributed, of which c. 500 went to MPs and parliamentary staffers and the remainder to the media and civil society groups. Electronic version online from March 2004 at <http://www.dcaf.ch/handbook/publications.html>
- **UNOMIG –Policing Standards Mapping Exercise**  
During late 2004 a DCAF team conducted a mapping survey, analysis and needs assessment of contemporary policing standards and needs assessment on behalf of UNOMIG at the request of SRSG Heidi Tagliavini.
- **Collection of Georgian Security Sector Laws (Security Sector Legal Assistance)**  
Extant acts collected and translated into English during 2004 for publication.

## Projects 2005

- **Partnership Action Plan – Defence Institution Building (PAP-DIB) Regional Conference and Training Course - Tbilisi April 2005 (with NATO IS, Georgian & Swiss Missions to NATO)**  
In April 2005 two consecutive events used the conceptual framework provided by PAP-DIB to discuss the principles of democratic oversight, accountability and transparency in the context of security sector governance and to qualitatively deepen the partnership relationship between EAPC countries and those in the Caucasus and Central Asia. Participants from Armenia, Azerbaijan and Georgia attended both events. See [http://www.dcaf.ch/news/SSG\\_Tbilisi0405/mainpage.html](http://www.dcaf.ch/news/SSG_Tbilisi0405/mainpage.html) The conference proceedings will also be published in late 2005.

- **NB** The event was subsequently highly commended by EAPC Ambassadors meeting at NATO IS in Brussels on 11th May, the lessons learned have been incorporated into planning discussions for a similar PAP-DIB event for Central Asia to be held in Turkey (with the cooperation of MoD Turkey) in March 2006.
- **Georgian Security Sector Laws (Security Sector Legal Assistance)**  
All extant acts relating to the Security Sector translated and published in English as ‘The Security Sector Laws of Georgia’, available at:  
[http://www.dcaf.ch/publications/e-publications/SeSec\\_Georgia/contents.html](http://www.dcaf.ch/publications/e-publications/SeSec_Georgia/contents.html)
- **Georgian Security Sector Governance Self-Assessment**  
Completing the research begun in 2002, the findings of CCMRSS’ research were published along with papers by Western experts mapping the current status and prospects of the Georgian Security Sector as ‘After Shevardnadze: Georgian Security Sector Governance After the Rose Revolution’ available at:  
[http://www.dcaf.ch/publications/e-publications/Georgia\\_SSGovernance/contents.html](http://www.dcaf.ch/publications/e-publications/Georgia_SSGovernance/contents.html)
- **National Security Policy of Georgia**  
Subsequent to commenting on the draft version for the Parliament, subsequent to its ratification DCAF will publish an English translation.
- **Translation Programme**  
During 2005 DCAF studies on the **Transformation of Police in Central and Eastern Europe**, and the **Intelligence Oversight Handbook** will be translated into Azeri and Georgian.

## Annex 2

### DCAF Publications about Georgia and the Caucasus 2000-2005

#### DCAF-IPU Handbook for Parliamentarians on Oversight of the Security Sector (Georgian Version)

Fluri, Philipp H., Johnsson, Anders B., Born, Hans (eds), *Parliamentary Oversight of the Security Sector: Principles, Mechanisms and Practices*, (Geneva: Inter-Parliamentary Union and Geneva Centre for the Democratic Control of Armed Forces, 2002)

[http://www.dcaf.ch/publications/epublications/Handbook\\_georgian/contents.html](http://www.dcaf.ch/publications/epublications/Handbook_georgian/contents.html)

#### Articles and Books on Georgia and the Caucasus

Darchiashvili, David, ‘Striving for Effective Parliamentary Control over the Armed Forces in Georgia’, in Hans Born, Marina Caparini, Karl Haltiner, J. Kuhlmann, (eds.), *Democratic Governance of Civil Military Relations in Europe: Learning from Crises and Institutional Change*, (Berlin, LIT Verlag/Transaction Publishers, 2004)

Darchiashvili, David, ‘Georgia: achievements and failures in an insecure environment’, in Wilhelm N. Germann and Andrzej Karkoszka (eds.), *The Difficult Path Towards Success: National Experience in Security Sector Reform in Central and Eastern Europe; A Review of Case and Country Studies*, BICC/DCAF Security Sector Governance and Conversion Studies, Bd. 10, (Baden- Baden: Nomos forthcoming 2005).

Darchiashvili, David, and Philipp Fluri (eds.), *After Shevardnadze: Georgian Security Sector Governance after the Rose Revolution*, (Tbilisi, 2005)

[http://www.dcaf.ch/publications/e-publications/Georgia\\_SSGovernance/contents.html](http://www.dcaf.ch/publications/e-publications/Georgia_SSGovernance/contents.html)

Ebnöther, Anja H., and Gustenau, Gustav E. (eds.), *Security Sector Governance in the Southern Caucasus – Challenges and Visions*, National Defence Academy, Vienna, 2004.

[http://www.dcaf.ch/publications/epublications/SSG\\_southerncaucasus/contents.html](http://www.dcaf.ch/publications/epublications/SSG_southerncaucasus/contents.html)

Fluri, Philipp, *The Security Sector Laws of Georgia*, (Tbilisi, 2005)

[http://www.dcaf.ch/publications/e-publications/SeSec\\_Georgia/contents.html](http://www.dcaf.ch/publications/e-publications/SeSec_Georgia/contents.html)

Osidze, Archil, and Haindrava, Ivlian, ‘Civil-Military and Inter-Agency Cooperation in the Security Sector in Georgia’, in Philipp Fluri and Velizar Shalamanov (eds), *Security Sector Reform: Does it Work? Problems of Inter-Agency Cooperation in the Security Sector*, (Sofia: CorectA 2003).

[http://www.dcaf.ch/publications/epublications/SSR\\_book/8.Osidze\\_Haindrava.pdf](http://www.dcaf.ch/publications/epublications/SSR_book/8.Osidze_Haindrava.pdf)

### **DCAF Conference Proceedings**

“Security Sector Governance in Southern Caucasus Challenges and Visions,” *DCAF Workshop*, Reichenau, 21-24 November 2003,

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/mainpage.htm](http://www.dcaf.ch/news/PfP_Reichenau1103/mainpage.htm)

**DCAF Conference Papers presented at the Joint Meeting of the PFP-Consortium Security Sector WG together with the Regional Stability in Southern Caucasus Study Group: “Security Sector Governance in Southern Caucasus - Challenges and Visions.”**

Darchiashvili, David, ‘Georgian Security Sector: Achievements and Failures,’ *Workshop Paper*, Reichenau, 21-24 November 2003,

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Darchiashvili.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Darchiashvili.pdf)

Forster, Peter, K., ‘The Paradox of Policy: American Interests in the Post-9/11 Caucasus,’ *Workshop Paper*, Reichenau, 21-24 November 2003, [http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/papers.htm](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/papers.htm)

Fritz, Antje, 'Status Report on Security Sector Governance in Georgia,' *DCAF Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Fritz.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Fritz.pdf)

Harutyunyan, Aram, 'Armenia as a Factor of Balance in the Southern Caucasus,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Harutyunyan.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Harutyunyan.pdf)

Hiscock, Duncan, 'The Role of Civil Society in Security Sector Governance in the South Caucasus,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Hiscock.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Hiscock.pdf)

Johnson, Garry, 'Security Sector Reform in the Southern Caucasus,' *Workshop Paper*, Reichenau, 21-24 November, 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Johnson.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Johnson.pdf)

Lynch, Dov, 'Security Sector Governance in the Southern Caucasus Towards an EU Strategy,' *Workshop Paper*, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Lynch.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Lynch.pdf)

MacFarlane, S., Neil, 'Visions of the Caucasus,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/MacFarlane.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/MacFarlane.pdf)

Mchedlishvili, Irakli, 'Visions and Aims for Security Sector Governance in the Southern Caucasus,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Mchedlishvili.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Mchedlishvili.pdf)

Mehtiyev, Elkan, G., 'Perspectives of Security Development in the South Caucasus,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Mehtiyev.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Mehtiyev.pdf)

Novikova, Gayane, 'Security Sector Governance in the South Caucasus: Vision and Aims,' *Workshop Paper*, Reichenau, 21-24 November 2003,  
[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Novikova.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Novikova.pdf)

Nuriyev, Elkhan, E., ‘The Southern Caucasus: In Quest of a New Vision for Cooperative Security Strategy,’ *Workshop Paper*, Reichenau, 21-24 November 2003,

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Papers/Nuriyev.pdf](http://www.dcaf.ch/news/PfP_Reichenau1103/Papers/Nuriyev.pdf)

**DCAF Conference Presentations given at the Joint Meeting of the PFP Consortium Security Sector Reform WG together with the Regional Stability in Southern Caucasus Study Group: “Security Sector Governance in Southern Caucasus - Challenges and Visions.”**

Ebnöther, Anja, H., ‘Introduction to the Security Sector Reform Working Group and to Security Sector Governance in Southern Caucasus,’ *DCAF Conference Presentation*, Reichenau, 21-24 November 2003,

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Presentation/Ebnöther.htm](http://www.dcaf.ch/news/PfP_Reichenau1103/Presentation/Ebnöther.htm)

Felberbauer, Ernst, ‘Security Sector Governance in Southern Caucasus – Challenges and Visions,’ *Conference Presentation*, Reichenau, 21-24 November 2003,

[http://www.dcaf.ch/news/PfP\\_Reichenau1103/Presentation/Felberbauer.htm](http://www.dcaf.ch/news/PfP_Reichenau1103/Presentation/Felberbauer.htm)

**DCAF Working Papers about the Caucasus**

Fluri, Philipp, ‘Former Soviet Union: Security Sector Reform in the Southern Caucasus’, *DCAF Working Papers*, No. 110, February 2003,

[http://www.dcaf.ch/publications/Working\\_Papers/110.pdf](http://www.dcaf.ch/publications/Working_Papers/110.pdf)

## **Annex 3: About DCAF**

### **1.1 The Geneva Centre for the Democratic Control of Armed Forces (DCAF)**

The Geneva Centre for the Democratic Control of Armed Forces (DCAF), established in October 2000 on the initiative of the Swiss government, encourages and supports states and non-state-governed institutions in their efforts to strengthen democratic and civilian control of armed and security forces, and promotes international cooperation in this field, initially targeting the Euro-Atlantic area. To implement these objectives, the Centre:

- collects information, undertakes research and engages in networking activities in order to identify problems, to establish lessons learned and to propose the best practices in the field of democratic control of armed forces and civil-military relations;
- provides its expertise and support to all interested parties, in particular governments, parliaments, military authorities, international organisations, non-governmental organisations, academic circles.

DCAF works in close cooperation with national authorities, international and non-governmental organisations, academic institutions and individual experts. In its operational and analytical work, DCAF relies on the support of 46 governments represented in its Foundation Council, on its International Advisory Board comprising some 50 renowned experts in the field of defence and security, on its Think Tank, Outreach, and International Projects Departments.. The Centre has established partnerships or concluded cooperative agreements with a number of research institutes and with several international organisations and inter-parliamentary assemblies.

In order to be able to thoroughly address specific topics of democratic control of armed forces, DCAF has established dedicated working groups covering the following issues: security sector reform; parliamentary oversight of armed forces; legal dimension of the democratic control of armed forces; transparency-building in defence



budgeting and procurement; civilian experts in national security policy; democratic control of police and other non-military security forces; civil-military relations in conversion and force reductions; military and society; civil society building; civil-military relations in post-conflict situations; criteria for success or failure in the democratic control of armed forces; civil-military relations in the African context. Planning, management, and coordination of the working groups is centralised in DCAF's Think Tank.

DCAF provides its expertise on bilateral and multilateral levels, and also addresses the interests of the general public. A number of bilateral projects in the areas of security sector reform and parliamentary control of armed forces are underway within the states of South Eastern and Eastern Europe. At the multilateral level, DCAF implements several projects in the framework of the Stability Pact for South Eastern Europe, the Organisation for Security and Cooperation in Europe, NATO, Council of Europe, and the United Nations. The Centre regularly produces publications, organises conferences, workshops and other events. It uses information technology, including its own website (<http://www.dcaf.ch>), to reach both target audiences and the general public.

DCAF is an international foundation under Swiss law. Forty-six governments are represented on the Centre's Foundation Council.\* The International Advisory Board is composed of the world's leading experts on the subjects of defence and security, who advise the Director on the Centre's overall strategy. DCAF is staffed by some 50 specialists of more than 20 different nationalities,

The Swiss Federal Department of Defence, Civil Protection and Sports finances most of the DCAF budget. Another important contributor is the Swiss Federal Department of Foreign Affairs. Certain member states of the DCAF Foundation support DCAF by seconding staff members or contributing to the Centre's specific projects.

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\* Albania, Armenia, Austria, Azerbaijan, Belarus, Belgium, Bosnia and Herzegovina, Bulgaria, Cote d'Ivoire, Croatia, Czech Republic, Denmark, Estonia, Finland, France, FYROM/Macedonia, Georgia, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Moldova, Netherlands, Nigeria, Norway, Poland, Romania, Russian Federation, Serbia and Montenegro, Slovak Republic, Slovenia, South Africa, Spain, Sweden, Switzerland, Ukraine, United Kingdom, United States of America, and the Canton of Geneva.

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## **Annex 4**

### **The DCAF Legal-Political Assistance Group (LPAG)**

The DCAF Legal-Political Assistance Group (LPAG) (<http://www.dcaf.ch/lpag/about.html>) was set up in 2002 to meet a growing demand from parliaments for assistance with their law-making activities. The LPAG is a non-permanent body of renowned experts on legal and law-making matters who may constructively assist with the theoretical and practical aspects of legislative activity. Jointly operating under the direction of the DCAF Deputy Director and Head of International Projects, LPAG members are invited to collaborate on projects that are suited to their particular expert fields.

Collaboration usually takes the form of attending and contributing papers at a conference, participating in workshops, and/or critically commenting on legal texts. In each country where the LPAG operates, DCAF seeks to collate and publish in written and electronic form the collected security sector laws of the country concerned. The laws are also added to the DCAF legal database (<http://www.dcaf.ch/legal/intro.htm>)

Mandates for cooperation with the LPAG currently exist with the following institutions:

- The Russian State Duma Defence Committee
- Ukrainian Verkhovna Rada Foreign Relations Committee
- The Parliament of Georgia
- The CIS Parliamentary Assembly in St. Petersburg

### **LPAG Activities 2002-2004**

#### **Conferences**

In the CIS (in cooperation with the Centre for Political and International Studies (CPIS)).

Round-Table discussions of draft laws in the context of international good practices have taken place in Russia in cooperation with the Centre for Political and International Studies (CPIS).

- November 2002 Moscow – CIS Model Laws on Parliamentary Oversight of Armed Forces and Civil Military Relations
- November 2003 Moscow – CIS Draft Model Law on Peacekeeping
- Model Law unanimously adopted at the 23<sup>rd</sup> Session of the CIS Inter-Parliamentary Assembly April 17th, 2004.

Conferences have also taken place in Ukraine in Kiev (September, December 2002 – Ukrainian law draft on Parliamentary Oversight of Armed Forces).

- December 2002 Kiev - Hearing on Money-Laundering (in cooperation with Rada Foreign Relations Committee and NATO representative to Ukraine), leading to legislation on Money-Laundering
- September 2002, December 2002, February 2003, September 2003 Kiev - Hearings on Parliamentary Oversight of Armed Forces and Security Sector Law  
Draft law on Oversight issues accepted February 2003.  
LPAG members have also participated in the workshops and conferences of 2004 Ukraine programme
- May 2004 Kiev - DCAF-Rada-NIISP Conference on ‘Ukrainian Security Sector Reform’
- April & July 2004, Kiev - DCAF-Rada Roundtable ‘Parliamentary Oversight of the Security Sector – Defence Budget Transparency and Parliamentary Powers’.

## **Seminars**

Members of the LPAG also participate in DCAF’s Civil Society Working Group’s ‘The Civil Society Building Project (CSBP) in Russia’. The Project’s activities consist of ten seminars in Moscow on various aspects relating to civil society with particular emphasis on

legislative aspects. The Working Group's activities form a complementary adjunct of the LPAG. The proceedings are being published and widely distributed to political and academic institutions in Russia and other Former Soviet countries.

## **Inventories of Security Sector Legislation**

Russian and English versions of the Russian Federation's security sector laws have been published in Moscow in December 2002 (Russian) and March 2003 (English) in cooperation with the Centre for Political Centrism in Moscow. This is now a template for the type of cooperation and publication sought with LPAG partners. Similar inventories are being established for Ukraine and Georgia.

## **Members**

LPAG members are invited to activities according to their specializations and the needs identified by the respective parliaments.

Mr. Yevhen R. Bersheda *former Ambassador of Ukraine to Switzerland; Ukrainian Academy of Sciences*

Dr. Hans Born *Senior Fellow, Geneva Centre for the Democratic Control of Armed Forces*

Mr. Roy Cullen *MP (Canada); Parliamentary Secretary of Finance Ministry*

Dr. Wim van Eekelen *Dputy Secretary General NPA, former WEU Secretary General & Netherlands MP*

Dr. Lidija Georgieva *Assistant Professor of Peace, Conflict and Etiology of Threats, Institute of Defence and Peace Studies, Skopje University, Macedonia*

Lt. Todd Huntley *JAGC USN, and Member of DIILS*

Professor Ian Leigh *Director, Centre for Human Rights, University of Durham, UK*

Mr. Simon Lunn *Secretary General, NATO Parliamentary Assembly*

Dr. Dov Lynch *Research Fellow, ISS EU Institute for Security Studies, Paris, France*

Mr. Leigh Merrick *Former NATO Representative to Ukraine, UK*

Gen. Karlis Neretnieks *President, National Defence College, Sweden*  
Dr. Michael Noone *Catholic University of America, Washington DC*  
Dr. Ioan Pascu *Professor, Defence Minister of Romania*  
Lt. Col. Andreas Pruefert *Chairman, EUROMIL*  
Cptn. Shackley Raffeto *Judge, JAGC USNR, and Member of DIILS*  
Dr. Velizar Shalamanov *George C. Marshall Association, Sofia, BG*  
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